

Equinomics Research Private Limited
(formerly known as Equinomics Research & Advisory Pvt Ltd)

SEBI Registered Research Analyst

REG. NO. INH000007863.

CIN: U67190MH2014PTC252252

Corporate Office: 307, Atlanta Estate, Dr. Ambedkar Chowk (Off Western Express Highway) Goregaon East,
Mumbai - 400063.

Compliance Policy for Media Interactions

May 30, 2023

This policy covers both Print and Electronic Media; Policy applicable to the employees, who appear on the media;

This policy is equally applicable to the firm Equinomics Research Pvt Ltd (Equinomics) for its own investments / transactions in the listed stocks;

Other relatives including parents, spouse, children of the employees, who appear on the media are included provided they are dependent on the concerned employees financially and / or their investment decisions are solely decided by the concerned employees of Equinomics;

Equinomics would maintain the record of Media interactions and individual stocks recommended on a daily basis;

Trading Policy for the staff members who appear on Media

The concerned Employee (including the dependents declared) should not have transacted (BUY or SELL) in the stock 30 days before recommendation;

The concerned Employee (including the dependents declared) would be allowed to BUY or SELL in line with the media recommendations after completing one week from the date of recommendations;

Employees (including the dependents declared) shouldn't transact in securities directly or indirectly in a manner contrary to his / her individual stock recommendations till 30 days from the date of media recommendations are completed. However, any transactions in securities after 30 days from the date of media recommendations would be in line with the firm's official recommendations on the stocks concerned and also in line with the Equinomics' Insider Trading Policy;

Employees are permitted to apply for Rights Issues, accept Bonus Shares and also tender shares to Open Offers and Buybacks as long as the original purchase of those shares are in line with the compliance policy of the firm. These actions should not be in contradiction to the advices sent to the clients.

Employees appearing on the media would not accept any material rewards from the companies/ promoters of the stocks covered on the media;

The employees would avoid covering the stocks of companies promoted by the shareholders of Equinomics;

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Keeping in mind the fact that mostly the retail investors, who lack proper formal research facilities, follow the media recommendations, Equinomics and its staff would follow the most ethical practices while disseminating stock recommendations on public media sources;
